

A STUDY OF THE STRUCTURE OF THE SOURCE REGION OF THE SOLAR WIND IN SUPPORT OF A SOLAR PROBE MISSION

NASA Grant NAG5-6215

Final Report

For the Period 1 April 1997 through 30 September 2001

Principal Investigator

Dr. Shadia R. Habbal

September 2001

Prepared for

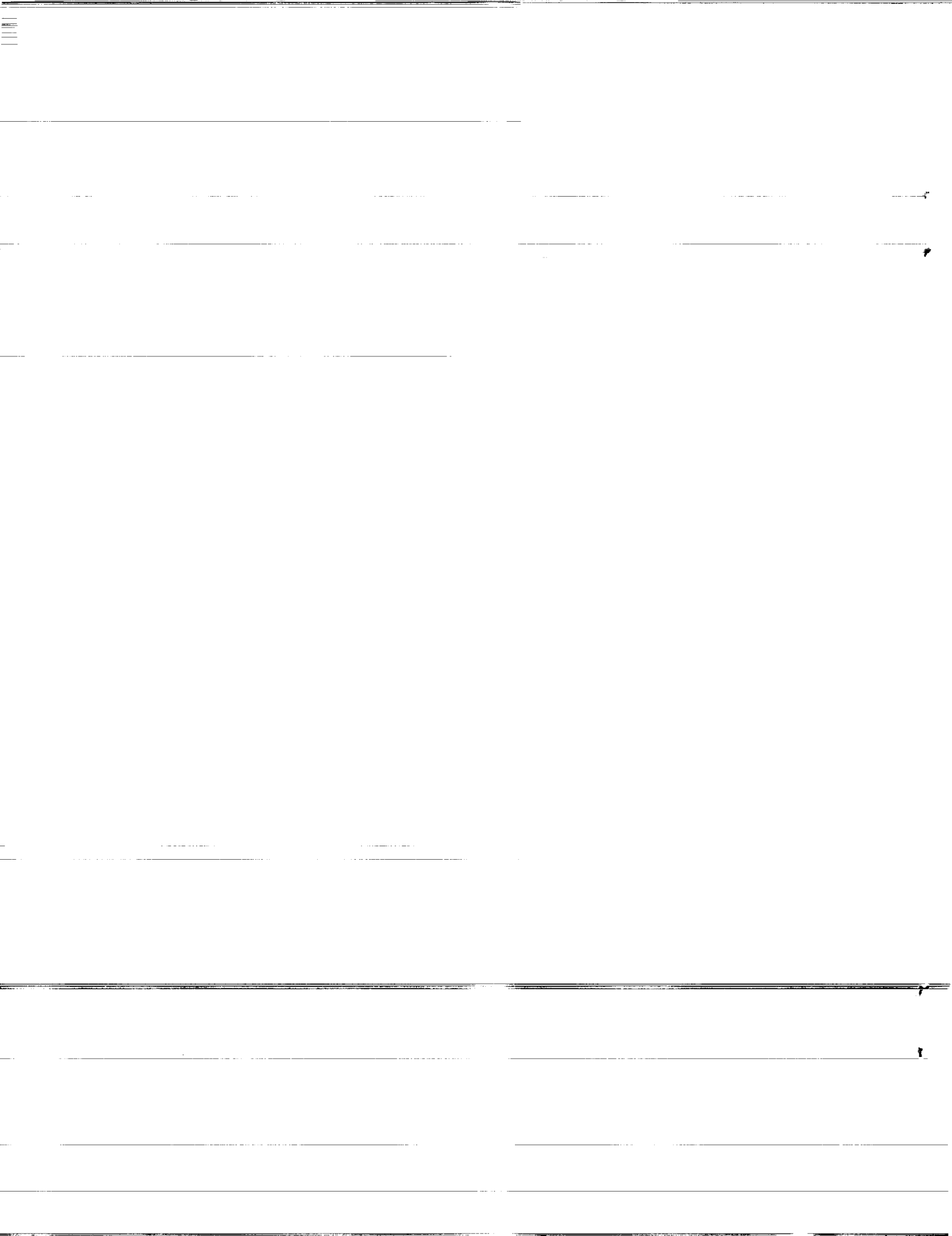
National Aeronautics and Space Administration

Washington, D.C. 20546

**Smithsonian Institution
Astrophysical Observatory
Cambridge, Massachusetts 02138**

**The Smithsonian Astrophysical Observatory
is a member of the
Harvard-Smithsonian Center for Astrophysics**

**The NASA Technical Officer for this Grant is Dr. M. A. Forman
Code: SR, Headquarters, National Aeronautics and Space Administration
Washington, D.C. 20546-0001**



FINAL REPORT FOR NASA GRANT NAG5-6215

A STUDY OF THE STRUCTURE OF THE SOURCE REGION OF THE SOLAR WIND IN SUPPORT OF A SOLAR PROBE MISSION

1 APRIL 1997 - 30 SEPTEMBER 2001

INTRODUCTION

Despite the richness of the information about the physical properties and the structure of the solar wind provided by the Ulysses and SOHO observations, fundamental questions regarding the nature of the coronal heating mechanisms, their source, and the manifestations of the fast and slow solar wind, still remain unanswered. The last unexplored frontier to establish the connection between the structure and dynamics of the solar atmosphere, its extension into interplanetary space, and the mechanisms responsible for the evolution of the solar wind, is the corona between 1 and 30 R_s .

A Solar Probe mission offers an unprecedented opportunity to explore this frontier. Its uniqueness stems from its trajectory in a plane perpendicular to the ecliptic which reaches within 9 R_s of the solar surface over the poles and 3 - 9 R_s at the equator. With a complement of simultaneous in situ and remote sensing observations, this mission is destined to detect remnants and signatures of the processes which heat the corona and accelerate the solar wind.

In support of this mission, we fulfilled the following two long-term projects:

- Study of the evolution of waves and turbulence in the solar wind
- Exploration of signatures of physical processes and structures in the corona

A summary of the tasks achieved in support of these projects are given below. In addition, funds were provided to support the Solar Wind 9 International Conference which was held in October 1998. A brief report on the conference is also described in what follows.

MODELING THE ACCELERATION REGION OF THE SOLAR WIND

While the mechanisms responsible for the solar corona and the high-speed solar wind streams are still unknown, model computations offer means for predicting the properties of such mechanisms in light of the empirical constraints currently available. Modeling and data analysis efforts were aimed at understanding the plasma properties of the acceleration of the solar wind, its filamentary nature, and the conditions needed to account for a rapidly accelerating solar wind, reaching its terminal speed within 10 R_s . Studies of two and three fluid solar wind models concentrated on the effects of heating, momentum addition and Alfvén waves, on the flow of electrons, protons, minor ions and neutral hydrogen. In addition, a study was focused on the role of proton temperature anisotropy on the energy balance requirements for the solar wind, and the implications for coronal heating processes. Also the

implications of current inferences of high proton and minor ion temperatures in the inner corona for the interpretation of spectral lines were explored.

Model computations complemented by data analysis played a key role in the realization that

- the fast solar wind undergoes very rapid acceleration in the inner corona and reaches its asymptotic speed by $10 R_s$ (*Esser et al. 1997*). These studies established the necessary requirements for coronal heating mechanisms to produce such profiles;
- significant temperature anisotropies in the protons and minor ions develop in the inner corona in the presence of Alfvén waves (*Hu et al. 1997, Allen et al. 1998, Li et al. 1998*);
- the heating and cooling of protons by turbulence-driven ion cyclotron waves can be achieved in the fast and slow solar wind (*Li et al., 1999*);
- how the expansion of polar plumes occurs in the fast solar wind (*Casalbuoni et al., 1999*).
- The cascade effect of Alfvén waves below $10 R_s$, neglected in earlier studies of the solar wind, was found to play an important role in the coronal heating, solar wind acceleration, and the radial evolution of the Alfvén wave spectrum (*Hu and Habbal, 1999, Hu, Habbal and Li, 1999*).
- Investigations of the warm plasma dispersion relation showed that the heating rate due to the dissipation of waves is enhanced in the warm plasma approximation compared to the cold plasma approximation (*Li and Habbal, 1999*).

CHARACTERISTICS OF THE INNER HELIOSPHERE BETWEEN 1 AND $10 R_s$

A number of Coordinated SOHO: UVCS, CDS, LASCO, TRACE observations with MGS, Galileo and Cassini radio occultation measurements were obtained to explore the characteristics of the inner heliosphere and the source regions of the fast and slow solar wind.

Analyses of coordinated white light x-ray observations provided further evidence that:

- the imprint of the coronal density extends radially outwards from the solar surface into the corona, except for streamers which undergo significant evolution in the corona before tapering into stalks (*Woo and Habbal, 1999; Woo et al., 1999*);
- the quiet Sun is also very likely to be a source for the fast solar wind (*Woo and Habbal, 1997*);
- the fast wind is ubiquitous in the inner corona, the streamer axes are the locus of the slowest solar wind, and a velocity shear exists between the fast and slow solar wind at the boundaries of streamers and along their axes (*Habbal et al., 1997*);
- the radial evolution of density structure is preserved in the solar corona, with the exception of coronal streamers (*Woo and Habbal, 1999*);

- the connection between the Sun and the solar wind makes the connection with interplanetary space measurements rather straightforward as the imprint of the fast solar wind is evident in the Ulysses measurements (*Woo and Habbal, 2000; Habbal and Woo, 2001*).

SOLAR WIND 9 INTERNATIONAL CONFERENCE

The Solar Wind 9 International Conference held in Nantucket, Massachusetts, 5-9 October 1998, hit a record for the largest such conference with 248 registered scientists. The conference was opened with two historical perspectives by Eugene Parker and Marcia Neugebauer. In appreciation for their pioneering work in Solar Wind Physics, the organizers, with support from this grant, bestowed medals upon both Eugene Parker and Marcia Neugebauer. The Proceedings, published by the American Institute of Physics, set a new record with the number of papers and the speed of publication of 6 months after the conference. The proceedings also included a CD-Rom of color figures and movies not included in the text.

PUBLICATIONS LISTED IN CHRONOLOGICAL ORDER

R. Esser, S. R. Habbal, Wm. Coles, and J. V. & Hollweg, Hot protons in the inner corona and their effect on the flow properties of the solar wind, *J. Geophys. Res.* **102**, 7063, 1997.

R. Woo and S. R. Habbal, Extension of coronal structure into interplanetary space, *Geophys. Res. Lett.*, **24**, 1159, 1997.

Y.-Q. Hu, R. Esser and S. R. Habbal, A fast solar wind model with anisotropic proton temperature, *J. Geophys. Res.*, **102**, 14,661, 1997.

X. Li, R. Esser, S. R. Habbal and Y.-Q. Hu, Influence of heavy ions on the high speed solar wind, *J. Geophys. Res.*, **102**, 17,419, 1997.

*S. R. Habbal, R. Woo, S. Fineschi, R. O'Neal, J. Kohl, G. Noci, and C. Korendyke, Origins of the slow and the ubiquitous fast solar wind, *Ap. J.*, **489**, L103, 1997.

*** Results featured in *Science*, 278, 387, 1997 by J. Glantz, in *Nature*, 390, 235, 1997 by K. Southwell, and *Astronomy Magazine*, March 98 by R. Graham**

R. Esser and S. R. Habbal, Coronal holes and the solar wind, in *Cosmic Winds and the Heliosphere*, J. R. Jokipii, C. P. Sonett, and M. S. Giampapa (Eds.), University of Arizona Press, 1997.

W. C. Feldman, S. R. Habbal, G. Hoogeveen, Y.-M. Wang, Experimental constraints on pulsed and steady state models of the solar wind near the Sun, *J. Geophys. Res.*, **102**, 26905, 1997.

L. Allen, S. R. Habbal, and Y.-Q. Hu, Thermal coupling of protons and neutral hydrogen in the fast solar wind, *J. Geophys. Res.*, **103**, 6551, 1998.

X. Li, S. R. Habbal, J. Kohl and J. Noci, The effect of temperature anisotropy on observations of Doppler dimming and pumping in the inner corona, *Ap. J.*, **501**, L103, 1998.

R. Woo and S. R. Habbal, Imprint of the Sun on the solar wind, *Ap. J. Lett.*, **510**, L69, 1999.

R. Woo, S. R. Habbal, R. Howard, and C. Korendyke, Extension of coronal hole boundaries into interplanetary space, *Ap. J.*, **513**, 961, 1999.

X. Li, S. R. Habbal, J. V. Hollweg and R. Esser, Heating and cooling of protons by turbulence-driven ion cyclotron waves in the fast solar wind, *J. Geophys. Res.*, **104**, 2521, 1999.

S. Casalbuoni, L. Del Zanna, S. R. Habbal and M. Velli, Coronal plumes and the expansion of pressure balanced structures in the fast solar wind, *J. Geophys. Res.*, **104**, 9947, 1999.

R. Woo and S. R. Habbal, Radial evolution of density structure in the solar corona, *Geophys. Res. Lett.*, **26**, 1793-1796, 1999.

Y. Q. Hu and S. R. Habbal, Resonant acceleration and heating of solar wind ions by dispersive ion cyclotron waves, *J. Geophys. Res.*, **104**, 17045, 1999.

Y. Q. Hu, S. R. Habbal and X. Li, On the cascade processes of Alfvén waves in the fast solar wind, *J. Geophys. Res.*, **104**, 24819, 1999.

X. Li and S. Rifai Habbal, Proton/alpha magnetosonic instability in the fast solar wind, *J. Geophys. Res.*, **105**, 7483, 2000.

R. Woo and S. Rifai Habbal, Connecting the Sun and the solar wind: Source regions of the fast wind observed in interplanetary space, *J. Geophys. Res.*, **105**, 12667, 2000.

S. Rifai Habbal and R. Woo, Connecting the Sun and the solar wind: Comparison of the latitudinal profiles of coronal and Ulysses measurements of the fast wind, *Ap. J.*, **L253**, 2001.

PAPERS PUBLISHED IN CONFERENCE PROCEEDINGS

R. Esser and N. S. Brickhouse, Interdependence of solar wind models and solar wind observations, in *Robotic Exploration Close to the Sun: Scientific Basis*, S. R. Habbal, Ed., AIP Conference Proceedings **385**, 1997.

S. R. Habbal and R. Woo, Remote sensing measurements of the corona with the Solar Probe, in *Robotic Exploration Close to the Sun: Scientific Basis*, S. R. Habbal, Ed., AIP Conference Proceedings **385**, 1997.

S. R. Habbal, G. Gloeckler, R. L. McNutt, and B. T. Tsurutani, The Solar Probe Mission: A search for the origin of the solar wind and an unprecedented view of the solar surface, in *Proceedings of Crossroads for European Solar and Heliospheric Physics, Tenerife, March 23-27, 1998*, ESA SP-417, 1998.

J.-C. Vial, S. Koutchmy, and S. Rifai Habbal, Cor-I: a coronal white light imager for a solar probe, *Adv. Space Res.*, **21**, 291, 1998.

R. Woo and S. R. Habbal, Multiscale filamentary structures in the solar corona and their implications for the origin and evolution of the solar wind, in *Physics of Space Plasmas*, number 15, 1998.

M. P. Ryutova, S. R. Habbal, R. Woo and T. Tarbell, Magnetic energy avalanche as the source of the fast wind, *Solar Wind Nine*, AIP CP 471, Edited by S. R. Habbal, R. Esser,

X. Li, S. R. Habbal, J. V. Hollweg and R. Esser, Proton temperature anisotropy in the fast solar wind: Turbulence-driven dispersive ion cyclotron waves, *Solar Wind Nine*, AIP CP 471, Edited by S. R. Habbal, R. Esser, J. V. Hollweg and P. A. Isenberg, pp. 531-534, New York, 1999.

R. Woo and S. R. Habbal, A new view of the origin of the solar wind, *Solar Wind Nine*, AIP CP 471, Edited by S. R. Habbal, R. Esser, J. V. Hollweg and P. A. Isenberg, pp. 71-76, New York, 1999.

C. H. Wood, C. H., S. R. Habbal, R. Esser and M. Penn, Comparison of Fe 5303, 6374, 7892 spectral line observations in a coronal hole and streamer, in *Solar Wind Nine*, AIP CP 471, Edited by S. R. Habbal, R. Esser, J. V. Hollweg and P. A. Isenberg, pp. 293-296, New York, 1999.

E. Möbius, G. Gloeckler, B. Goldstein, S. Rifai Habbal, R. McNutt, J. Randolph, A. Title, and B. Tsurutani, Here comes Solar Probe!, *Adv. Space Res.*, bf 25, 1961, 2000.

PAPERS PRESENTED AT CONFERENCES

X. Li and S. R. Habbal, On the line profile of O VI 1032 Å in coronal holes, AGU Spring Meeting, 1997.

X. Li, S. R. Habbal, and R. Esser, Model computations of the line profiles of O VI 1032 and 1037 Å in the fast solar wind and comparison with UVCS observations, SPD meeting, Bozeman, June 1997.

S. R. Habbal, Model studies of fast and slow solar wind flows, IAGA 97, Uppsala, Sweden, August 1997.

S. R. Habbal, et al., UVCS observations of coronal streamers during the GALILEO and NEAR solar conjunctions, AGU Spring Meeting, 1997

R. Woo and S. R. Habbal, Extension of coronal structure into interplanetary space, AGU Spring Meeting, 1997.

R. McNutt et al., The NEAR Solar Conjunction Experiment, AGU Spring Meeting, 1997.

S. R. Habbal, R. Woo, S. Fineschi, and J. L. Kohl, Transition from fast to slow solar wind in the inner corona, SOHO-5, Oslo, July 1997.

S. R. Habbal, L. Allen, X. Li and R. Esser, Flow of neutral hydrogen and minor ions in the fast and slow solar wind, AGU Fall meeting, 1997.

INVITED TALKS BY S. RIFAI HABBAL

Scientific Basis for a Solar Probe Mission Close to the Sun AGU, May 1997.

Scientific Basis for a Solar Probe Mission Close to the Sun, SPD meeting, June 1997.

Origins of the fast solar wind, 18th NSO/Sac Workshop, September, 1997.

New perspectives on the solar wind, Boston University Colloquium, October 1997.

Origins of the slow and the ubiquitous fast solar wind, MIT Space Physics colloquium, November 1997.

New Understanding of the Solar Wind: the Impact of Ulysses and SOHO Measurements, AAS, Baltimore, January 1998.

The Solar Probe Mission: A Search for the Origin of the Solar Wind and an Unprecedented View of the Solar Surface, A Crossroads for European Solar and Heliospheric Physics: Recent Achievements and Future Mission Possibilities, Tenerife, March 1998.

The Solar Probe Mission Spring AGU, Boston, May 1998.

Origin and Evolution of the Solar Wind, Cambridge Workshop on Space Plasmas, Lisbon, June 1998

PUBLIC LECTURES BY S. RIFAI HABBAL

Solving Some Solar Enigmas, Boston Museum of Science, April 15, 1998.

The Solar Wind Sherpas, Center for Astrophysics Women's Program Committee, Cambridge, MA, June 8, 1998.

The Dynamic Sun and the Solar Wind, Center for Astrophysics Summer Seminar Series, Cambridge, MA, July 16, 1998.